CNPq/CONICYT Call for Applications Nº 17/2016

I – CALL FOR APPLICATIONS

The Brazilian National Council for Scientific and Technological Development – CNPq and the Chilean National Commission for Scientific and Technological Research - CONICYT renders public the present Call and invites interested researchers to apply for this Program in line with the terms set herein and in conformity with the following **REGULATIONS** attached, integrant part of this Call.

I.1 – OBJECTIVE

This Call aims to select research proposals in order to financially support projects able to significantly contribute to:

a) the improvement of the quality of life of the elderly and their surroundings through research on the ageing process in Brazil and Chile;
b) the formulation of public policies for the aging population in Brazil and Chile;
c) the scientific and technological development and innovation of the countries, according to the Cooperation Agreement between the CNPq and CONICYT;
d) the strengthening of bonds between researchers of both countries in the proposed field;
e) the achievement of scientific and technical results on a global level; and
f) the promotion of mobility and development of researchers in the beginning of their careers through joint research projects;

I.1.2 –The proposals will be developed jointly by teams of both countries and must comply with the specific conditions set out in Part **II – REGULATIONS,** attached to this Call, which determines the requirements of the applicant, schedule, financial resources to be applied to approved proposals, source of funds, fundable items, eligibility criteria, objective parameters and criteria of evaluation and other information deemed as necessary.

I.2 – PRESENTATION AND SUBMISSION OF PROPOSALS

I.2.1 – Proposals must contain all necessary documents and should be sent to CNPq exclusively ONLINE, through the Online Proposal Form, available on the Carlos Chagas Platform.

I.2.2 – Proposals must be sent to CNPq by 11:59PM of the deadline date (Brasília time), described in the subsection **II. 1.2** – **SCHEDULE of REGULATIONS**

I.2.2.1 – Support through the e-mail address atendimento@cnpq.br or telephone at 55. 61 3211 4000 will only be available up to 6:30PM and this will not be accepted as justification for applications submitted after the deadline.

I.2.2.2 Applicants will receive, after completing the submission, an electronic receipt of her/his proposal, which shall serve as a proof of the submission.

I.2.3 – Proposals must be submitted in conformity with what is described in the subsection **II.2 ELIGIBILITY CRITERIA** – of **REGULATIONS,** strictly containing all the items proposed in the Call.

I.2.4 – The file containing the research project must be generated outside of the Online Proposal Form and attached to it, in “pdf” file format, not exceeding 2Mb (five megabytes)

I.2.5 – If it makes itself necessary the usage of images, graphics, etc, to better clarify the argument of the proposal, one should be careful not to compromise the capacity of the file, for proposals that surpass the limit of 2Mb will not be accepted by the electronic system of CNPq.

I.2.6 – CNPq will not accept any proposals submitted by any other means, nor after the final submission deadline.

I.2.7 – It will only be accepted one proposal per applicant.

I.2.8 – If an applicant submits a second proposal during the application period, it will replace the first proposal. The last submitted application will be the one considered in the analysis.

I.2.9. – If the submission of identical proposals is identified, the following actions will take place:

a) if it transpires that the proposals are from the same applicant, only the latest version sent shall be considered;

b) if the identical proposals were sent by different applicants, both proposals will be disqualified.

I.3 – ADMISSION, ANALYSIS AND EVALUATION

The selection of proposals submitted to CNPq, in compliance to this Call, will be executed based on analysis and comparative evaluations. For such, the following stages are established:

I.3.1 – Stage I – Evaluation by CNPq's and CONICYT's Staffs

1.3.1.1 – At this stage, CNPq's and CONICYT's staffs will analyze the proposals according to their conformity with the provisions set out in the items in **REGULATIONS,** relating to the subsection **II.1.4 – FINANCIABLE ITEMS,** and the subsections **II.2.1 – AS FOR THE APPLICANT AND SUPPORT TEAM** and **II.2.3 – AS FOR THE EXECUTION ESTABLISHMENT,** of the **ELIGIBILITY CRITERIA.** Proposals that do not meet the requirements of this Public Call will not be forwarded to the scientific merit evaluation, carried out by ad hoc experts.

1.3.2 – Stage II – Review by *ad hoc* Experts

1.3.2.1 – This stage, previously authorized by CNPq's Executive Board – DEX and CONICYT, will consist on a profound analysis of the qualified demand, regarding scientific merit and relevance of proposals, to be carried on by specialists which will have pronounced themselves on the topics related to the subsection **II.2.2 – AS FOR THE PROPOSAL of the ELIGIBILITY CRITERIA** and **II.3 – EVALUATION CRITERIA,** of the **REGULATIONS** attached.

I.3.3 –Stage III – Analysis, Judging and Classification by the Binational Judging Committee

I.3.3.1 – At this stage, the proposals will be evaluated and classified according to the analysis of the previous stage and the **ELIGIBILITY CRITERIA,** listed in the subsection **II.2.2 – AS FOR THE PROPOSAL,** and of **EVALUATION,** stated in the subsection **II.3.,** of **REGULATIONS,** which will pointed out by the Binational Judging Committee.

I.3.3.2 – The final score of each project shall be assessed in conformity with what is established in the item **II.3 – EVALUATION CRITERIA of REGULATIONS.**

I.3.3.3 – After scientific merit and relevance analysis of each proposal and adequacy of to its budget, the Committee, within its budgetary limitations stipulated by the Executive Board of CNPq and CONICYT¨s Executive Director, may recommend:

a) Approval, with or without budget adjustments/cuts; or
b) No approval.

I.3.3.4 – The budget cuts of projects must not surpass 30% of the solicited value to CNPq.

I.3.3.5 – If the Committee suggests budget cuts higher than this value, the project will be automatically disqualified from the competition.

I.3.3.6 - The Judging Committee's position about the proposals, within the established evaluation criteria, will be registered on Electronic Sheet, containing the outline of the judged proposals, recommended and not recommended, with its respective final score, in decreasing order, as well as other pertinent information and recommendations.

I.3.3.7 – All the evaluated proposals will be object of a unified technical position, containing the justifications for its recommendation or no recommendation.

I.3.3.8 – The members of the committee will electronically sign a Review Report .

I.3.3.9. – The researcher that has submitted proposals to this Call or that is participating on the research team must not integrate the Judging Committee.

I.3.3.10 – It is forbidden to the Committee's members:

a) To evaluate projects which there would be conflict of interests;

b) To share, before the official announcement of CNPq and CONICYT, the results of any evaluation;

c) To make copies of projects;

d) To discriminate fields of research or lines of thought;

e) Not to take into account, without justified reason, into his/hers recommendation, the standings of the *ad hoc* consultants;

f) To misrepresent the meaning of the content of the standings of the *ad hoc* consultants;

g) To issue a position in a recourse against a decision in which the Comitte's member was the rapporteur;

h) To behave him/herself as the representative of an institution or a region.

I.3.4 – Stage IV – Analysis by the Executive Board of CNPq and CONICYT

All proposals analyzed by the Binational Judging Committee will be submitted to CNPq's and CONICYT's Executive Boards, which shall issue their final decision regarding its approval, noted the budgetary limits of this Call.

I.4 – SELECTION RESULTS

I.4.1 –The list of proposals approved with financial resources of the present Call will be published on CNPq and CONICYT's websites – available on the Internet in http://www.cnpq.br/ and http://www.conicyt.cl/ - and, in the Brazillian case, published the **Brazilian Official Journal (DOU)**.

I.4.2 – All applicants will be able to access their proposal's evaluation, names and identification of the evaluators will be withheld.

I.5 – RECONSIDERATION REQUESTS

I.5.1 – If the applicant has a justification to contest the results of the proposal's judgment, it will be possible to submit a request in specific online form, available in the Carlos Chagas Platform (http://carloschagas.cnpq.br), within 10 (ten) days of the release of results on CNPq and CONICYT's websites, and the availability of the positions on the Carlos Chagas Platform, in conformity with this Council's **APPELLATIVE RULES**.

1.5.2 – The request shall be directed to the Permanent Commission of Recourse Analysis – COPAR, which, after examination, shall submit the result for final analysis of the CNPq's Executive Board.

I.5.3 – The 10-day deadline will exclude the first day and consider the closing day, and it will consider consecutive days.

I.5.4 – The deadline only starts and ends in working days at CNPq.

I.6 – SUPPORT FOR APPROVED PROJECTS BY CNPq

I.6.1 – Approved projects will be supported, by the Brazilian part, as Individual grants, registered under the name of the Brazilian team Coordinator, upon signature of the ACCEPTANCE TERM OF FINANCIAL SUPPORT.

I.6.2 – The appointment of the **ACCEPTANCE TERM** will be subordinated to the previous existing **Technical Cooperation Agreement,** celebrated between the institution hosting the project and CNPq, as stated in the guidelines of this Council.

I.6.3 – The event of any default(s) being held by the awardee with Federal, State, or Municipal Public Administration, direct or indirect both, will constitute a hampering factor to the project's hiring.

I.7 – CONCESSION ANNULMENT BY CNPq

I.7.1 – Financial support may be cancelled during the projects' implementation period by CNPq's Executive Board of Directors due to justified severe facts, without prejudice of other appropriate actions.

I.8 – PUBLICATIONS

I.8.1 – Scientific publications and any other form of disclosure of the research supported by this Call of Applications must acknowledge, obligatorily, the support of CNPq, CONICYT and of other financially supporting institutions/ government bodies.

I.8.2 – **ADVERTISING ACTIVITY** relating to projects and works financed by the Union resources must rigorously observe the provisions that rule such kind of activity.

I.9 – JUDICIAL REVIEW OF THE CALL AT CNPq

I.9.1 – The permission to judicially contest the rules of this Call will decay two business days before the deadline of proposals submissions.

I.9.2 – It will not be accepted judicial contests after the judging stage, which had already been accepted without any objection for failures or defects.

I.9.3 – The judicial review request must be sent to CNPq' Executive Board of Directions, via e-mail, to the following address: presidencia@cnpq.br

I.10 – CALL'S REVERSAL OR ANNULMENT AT CNPq

The present Call may be canceled or annulled, entirely or in part, either due to unilateral decision of CNPq's Executive Board, or due to legal request and/or in the interest of the public administration, based on motivated decision, with no obligation to repair any amends.

I.11 – SPECIAL AUTHORIZATIONS

The awardee is exclusively responsible for taking all necessary procedures in order to obtain ethic and legal authorizations and permissions to execute her/his project.

I.12 – GENERAL DISPOSITIONS

I.12.1 – The publication of scientific articles resulting from supported projects should be performed, preferentially, at open access magazines.

I.12.2 – During the fellowship's period, all and any communication of the Brazilian Coordinators with CNPq must be done through electronic mail with the General-Coordination of International Cooperation – CGCIN, via the following e-mail address: cgcin@cnpq.br. In similar manner, during the fellowship's period, all and any communication of the Chilean coordinators with CONICYT must be done through electronic mail with CONICYT's International Cooperation Programs Coordination, via the following e-mail address: skakimova@conicyt.cl.

I.12.3 – Any changes related to the projects' execution must be requested to CNPq by its coordinator, accompanied by a justified reason, it being required authorization before its execution.

I.12.4 – At the end of their activities, the Brazilian coordinator must present the provision of accounts and technical reports, in conformity with what is established in the **ACCEPTANCE TERM** and other CNPq's rules.

I.12.5 – During its execution period, the project will be accompanied and evaluated, in all its stages, in conformity with what is established in the **ACCEPTANCE TERM.**

I.12.6 – It is allowed to CNPq and CONICYT, during the execution period of the project, to promote technical visits or solicit additional information envisioning the enhancement of the Monitoring and Evaluation system.

I.12.7 –The information generated with the implementation of the selected proposals and made available in the CNPq and CONICYT´s database must be considered of public access, contemplating the dispositions below:

I.12.7.a) – Projects submitted to this Call, as well as any technical reports presented by researchers and/or awardees to CNPq, that contain information regarding ongoing projects, will have restricted access up until the decision act regarding the final approval by CNPq. (Law 12,527/2011 November 18, 2011, article 7, paragraph 3, and Decree 7,724/2012 May 16, 2012, article 20);

I.12.7.b) – Researchers whose projects submitted to CNPq, approved or not, and/or presented its technical reports which may generate, in totality or partially, results potentially object to Innovation Patent, Utility Model, Industrial Design, Computer Program or any other form of Intellectual Property registry and analogous must explicitly manifest its interest on restricting the access in the occasion of the submission of the project and/or technical report.

I.12.7.b1) – The obligations of confidentiality and restriction of public access provided for in the previous clause will subsist for the period of 5 (five) years from the restriction request.

I.12.7.b2) – In the weight of the possibility of restriction of access, it is not reduced, however, the responsibility with which the researchers, its teams and institutions hold, as members of the research community, to maintain, whenever possible, the results of the research, data and collections at the disposal of other researchers for academic purposes.

I.12.7.c) – The parties must make sure that each and everyone of its employees, staff, public agents and outsourced staff, which have access to information of restricted access, have agreed to comply with the obligations of restriction of access to information.

I.12.7.d) – CNPq will dispose, to its own criteria, the primary information of all its projects, such as: title, abstract, object, proponent(s), executing institutions and resources applied by the body.

I.12.8 – If the project's results or report in itself came to have commercial value or could lead to the development of a product or method involving the establishment of a patent, the exchange of information and right's withhold, in each case, will be determined according to what is established in the Innovation Law (Law number 10,973 December 2nd, 2004), ruled by the Decree 5,563 October 11, 2005, on the Law of Industrial Property (Law number 9,279 May 14, 1996) and by the internal norms of CNPq that rule the matter.

I.12.9 – The present Call regulate itself by the precepts of public law inserted in the caput of the article 37 of the Brazilian Federal Constitution, by the dispositions of the Law number 8,666/93, for what is applicable, and specially by the internal rules of CNPq.

I.12.10 – THE CLARIFICATIONS AND ADDITIONAL INFORMATION REGARDING THE CONTENT OF THIS CALL AND THE COMPLETION OF THE ONLINE PROPOSAL FORM, *AS WELL AS THE LEGISLATION THAT RULES THIS CALL, CAN BE OBTAINED IN THE ITEMS II.5* AND *II.6 OF REGULATIONS*

I.13- RESERVE CLAUSE

The Executive Board of CNPq holds the right to resolve instances of non-applicability and cases not detailed herein this Call.

Brasília, 12 August 2016.

CNPq/CONICYT Call for Applications Nº 17/2016

II - REGULATIONS

The present REGULATIONS aims to define which activities are to be financially supported and the conditions for the implementation of such support, through the selection, per Call, of proposals for the execution of projects.

II.1 – AS FOR THE SPECIFIC PROVISIONS

II.1.1 – OF THE OBJECT

Supporting joint research, development and Innovation projects (P&D&I), within CNPq (BRAZIL)/CONICYT's (CHILE) Cooperation, addressing to the topic of "population ageing" in the scope of social and applied sciences and health sciences that seek to contribute to the improvement of public policies.

II.1.1.1 – EXPECTED RESULTS

i) The scientific and technological investigation projects to be financed in the scope of this call shall conduct to a newfound knowledge or applications through work hypothesis made explicit in the project;
ii) The projects shall contribute for the strengthening of international cooperation by the means of joint publications and disclosure activities;
iii) The projects shall contribute for the qualification of high-level human resources, through mobility, developed thesis in the scope of the project, among others.

II.1.2 – SCHEDULE

Activities	Date
Release of the Call on the **Brazilian Official Journal (DOU)** and CNPq's website	August 12nd, 2016
Limit date for proposals' submission	September 26th , 2016
Disclosure of results on the Brazilian Official Journal (DOU) and CNPq's website	October 31st, 2016
Support for the approved proposals by CONICYT	November/ December 2016
Support for the approved proposals by CNPq	February 15th 2017

II.1.3 – **FINANCIAL RESOURCES**

II.1.3.1 – Each Funding Agency finances researchers in their country, with the equivalent amount of financial resources.

II.1.3.2 – By the Brazilian side, the approved proposals are to be financed with resources on the estimated global value of R$ 1,050,000.00 (One million and fifty thousand reais), according to the budgetary and financial availability of CNPq. The total approved value of the Call must not exceed 20% in capital expenditure.

II.1.3.3 – By the Brazilian side, Projects will have the maximum value of up to R$ 525,000.00 (Five hundred and twenty five thousand reais) for capital expenditure and costing. The resources, by project, assigned to capital expenditures must not exceed 20% of the project's total value.

II.1.3.4 – CNPq's Executive Board will be able to, in the case of an eventual identification of additional resources for the Call, decide for adjustments to the global value mentioned on **II.1.3. 2.**

II.1.4 – **FINANCEABLE ITEMS BY CNPq**

II.1.4.1 – Resources of the present Call are going to be designated to the financing of costing and capital items, embracing:

II.1.4.1.1 – **COSTING:**

a) consumables, components and/or reposition parts of equipments, software, installation, recuperation e maintenance of equipments;

b) outsourced services – full or partial payment of maintenance contracts and outsourced services, private individual or legal person, of eventual character;

c) accessory expenses, especially those of importation and of necessary installations to the adequate functioning of equipments (check subsections **II.1.4.3 and II.1.4.4**);

d) national and international airline tickets (Brazil/Chile/Brazil) and per diem for participants of the Brazilian team, according to the "Per Diem Rates for Individual Aid and Short Term Fellowships". The national and international airline tickets should be acquired in economy class;

e) contracting health insurance for each participant of the Brazilian team traveling abroad, in the maximum value of R$ 250.00 per month ;

II.1.4.1.1.1 – Any payments towards natural persons must be done accordingly with the current legislation.

II.1.4.1.1.2 – The work force employed in the project's execution will not have a bond of any nature with CNPq, and will not be able to demand from this body any payments, remaining this the sole responsibility of the project's executing Coordinator/ Institution.

II.1.4.1.1.3 – The total value solicited for costing items described in the subheadings "a" and "c" should be included in the field "costing" of the Online Proposals Form.

II.1.4.1.1.4 – The values of tickets and *per diems* should be included in fields of same name of the aforementioned form, following the instructions there contained.

II.1.4.1.1.5 – The calculation of the value of the per diems must comply with CNPq's per diems for Individual Assistance and Short Term Fellowships on the Country and Abroad Chart (http://www.cnpq.br/normas/rn_06_031.htm), for missions of up to 30 (thirty) days of duration, performed within the execution period of the project.

II.1.4.1.1.6 – Eventual participations of members of the Brazilian team, that do not hold a PhD's Degree, as beneficiaries of costing items must be previously approved by CNPq, upon analysis of the corresponding justification and work plan.

II.1.4.1.2 – **CAPITAL:**

a) equipments and permanent material;

b) bibliographical material.

II.1.4.1.2.1 – Items of capital will be allocated in the project's executing institution under the responsibility, maintenance and safekeeping of the project's executing Institution/ Coordinator.

II.1.4.2 - Are forbidden the expenses with:

a) badges, folders and similar, certificates, ornamentation, cocktail, dinners, concerts or artistic manifestations of any nature;

b) routine expenses such as electricity, water, telephone, mail, reprographics and similar bills, to be understood as obligatory counterpart expenses of the project's execution institution;

c) payment of administration fees, of management, in any capacity;

d) building construction works (*except the ones involving installations and necessary adaptations for the adequate functioning of equipments, which must be justified in the detailed budget of the proposal – subsection II.2.2*), are to be understood as of obligatory counterpart of the project's execution institution;

e) acquisition of automotive vehicles, location and expenses with fuels of any kind;

f) payment of salaries or wage complementation of technical and administrative staff, or any other advantages for public institution's personnel (Federal, State and Municipal);

g) payment, at any capacity, to active public official for provided services, including consultancy, technical assistance or similar, at the account of any resource sources.

h) Payment, at any capacities, to private companies that have active public servant in its corporate structure, or employee of public company or public-private society, for provided services, including consultancy, technical assistance or similar.

II.1.4.2.1 – Other expenses shall be taken care of by the applicant/project's execution institution, at the capacity of counterpart.

II.1.4.3 – For hiring or acquisition of goods and services, legal and constitutional principles must be observed, as well as norms of CNPq's **PROVISION OF ACCOUNTS**.

II.1.4.4 – When applicable, the proposal must include the accessory expenses due to the importation of equipments, permanent materials and consumables.

II.1.4.5 – CNPq does not answer for the supplementation of resources to manage expenses derived from any external factors outside of its control, such as currency fluctuation.

II.1.4.6 – When dealing with **INNOVATION** projects, within the terms provided on the Innovation Law (Law nº 10.973/2004), there could be provided operational or administrative expenses, in the sum of up to 5% of the approved values.

II.1.5 – PROJECT'S EXECUTION PERIOD

II.1.5.1 – The proposals to be supported by the present Call shall have its maximum execution period established within 24 (twenty four) months.

II.1.5.2 – Exceptionally, the project's execution period might be extended, upon grounded request by the Coordinator and accepted by CNPq's Executive Board and CONICYT.

II.2 – ELEGIBILITY CRITERIA

a) The eligibility criteria stated below are obligatory.

b) To comply with them is considered mandatory for the proposal's exam, its framework, analysis and judgment. Proposals that do not meet the requirements of this Public Call will not be forwarded to the scientific merit evaluation, carried out by ad hoc experts.

c) The absence or insufficiency of information about any of them may result in the proposal's disqualification.

II.2.1 – AS FOR THE PROPONENT AND BRAZILIAN SUPPORT TEAM:

II.2.1.1 – The proponent will be the Brazilian team project Coordinator who will be responsible for the submission of the binational proposal.

II.2.1.2 – The proponent must meet, obligatory, the requirements below:

a. hold PhD's Degree and have its profile registered at the Lattes Platform, updated up to the submission of the proposal;
b. be, obligatorily, the project Coordinator;
c. to have a formal bond with the project's execution institution. A formal bond is to be understood as all and any form of existing bonding between the proponent, private individual, and the project's execution institution. In case of inexistence of functional or employment bond, the bond will be characterized by means of official documents that state agreement between the proponent and the project's execution institution for the development of research and/or study activity, document such expedited by competent authority of the institution. This document should be in power of the proponent, not being necessary the shipment to CNPq; and
d. not coordinate more than one proposal submitted to this Call.

II.2.1.3 – In the act of presenting a proposal, the applicant assumes the commitment of maintaining, during the project's execution, all the conditions of qualification, habilitation and reputation needed for the perfect accomplishment of its object, preserving updated her/his registration data with the competent registries.

II.2.1.4 – The technical team may be constituted by researchers, students and technicians. Other professionals may integrate the team as collaborators.

II.2.1.5 – Only to be included in the project's team are those who have provided formal written concurrence, which must be kept under the guard of the project's coordinator.

II.2.1.6 – It is obligatory that the members of the Brazilian technical team, characterized as researchers, to have their resumes registered at the Lattes Platform.

II.2.2 – AS FOR THE PROPOSAL:

II.2.2.1 – Proposals must be presented in the form of a research project, written **exclusively** in **ENGLISH LANGUAGE.** It is recommended the exhibition of the following information, so as to make possible the adequate judgment by the Judging Committee:

a) Summary of the project, to be presented both in English and Portuguese versions;
b) Table of contents with key-words, goals, approach and expected results;
c) Research Background and Goal;
d) Research Infrastructure for this Project;
e) Characteristic equipment and facilities for carrying out this Cooperative Project;
f) Approach;
g) Expected outcome;
h) Synergies created by the joint research activity;
i) Research plan;
j) Research Networking plan;
k) Budget plan for the project; and
l) Curriculum vitae of research team members.

II.2.2.2 – At the end of this Regulations section, is the model for the project. (Annex I)

II.2.2.3 – The project will be developed jointly by teams of both countries.

II.2.2.4 – The project must be clearly characterized as a scientific, technological or innovation research and should be only once presented, solely to the Brazilian part, by the Brazilian Coordinator. CONICYT will receive from CNPq copies of proposals for evaluation and procedures.

II.2.2.5 – The proponent must attach to the proposal the Statement of Prior Informed Consent. At the end of this Regulations section is the model for the Statement of Prior Informed Consent. (Annex II)

II.2.2.6 – In addition to the project and the Statement of Prior Informed Consent, the proponent must attach to the proposal a document provided by the Chilean coordinator entitled "Letter of Institutional Support." (Annex III). This document must be attach attached in the "Letter of Acceptance by the foreign institution" in the submission form. (This Document must signed by the legal representative of the Chilean institution which belong the foreign coordinator).

II.2.2.7 – It is recommended the existence of other partnerships with institutions that develop scientific, technological and innovation activities, located in Brazil or other countries, known as "co-executing" or "collaborators", in conformity with the constant Glossary in this present Call.

II.2.2.8 – In addition, the expected coverage of items to be financed by established agreement between CNPq and the funding foreign institution, referenced in the Constant charter of the subsection **II.1.5**, it is advisable for the proposal to display the existence of support of other

national or foreign institutions, denominated as “”national co-funding institution” or “foreign co-funding institution”, in the form of funding resources or research infrastructure, effectively necessary for the project’s execution.

II.2.3 – AS FOR THE BRAZILIAN EXECUTING INSTITUTION

II.2.3.1 – The national executor institution is the one where it will be developed the research project and with which the proponent shall present bond and will be, from now on, known as “National Executing Institution”, being either:
a) institution of higher education, public or private without profit motives;
b) institute and research and development center, public or private, without profit motives;
c) public company that executes research activities in Science, Technology or Innovation.

II.2.3.1.1 – The project’s executing institution must be constituted under Brazilian law and have its headquarters and administration on the Country.

II.3 – EVALUATION CRITERIA

II.3.1 – The following are the criteria for the classification of proposals as far as technical-scientific merit and its budget adequacy:

	Analysis and judgment criteria	Weighted score	Grade
A	Scientific Merit of the Proposal: definition and relevance of the objectives research, the scientific basis, methodology to be used, relevance of the expected contribution the field of knowledge that the proposal falls, adequacy presented schedule and budget requested.	3	0 to 10
B	Excellence of the research team: qualification of the researchers, adequacy of team members to the objectives and activities research project, scientific and technological production, orientation students and time available to work on the project	3	0 to 10
C	Benefits and pertinence of international cooperation.	3	0 to 10
D	General expected results: conjoint publications, human resources qualification, socio-economic impacts and other mutual benefits that may be generates from this international cooperation.	3	0 to 10
E	Coherency and adequacy between the qualification/ experience of the investigation teams involved in the project and the activities and objectives set for the project.	2	0 to 10
F	Budgetary adequacy and existence of additional sources of funding.	1	0 to 10
G	Physical infrastructure, available in the participant institutions and support conditions for the project’s execution and infrastructure and support team compatibility with the project’s research plan.	1	0 to 10

II.3.2 – For grade stipulation it will be possible the usage of up to two decimal places.

II.3.3 – The final score of each project will be determined by the weighted average of the scores attributed to each item.

II.3.4 – In the event of a tie, it will be used the criteria referent to the letters A, B ,C and D, in this order of priority, within the provided criteria in **II.3.1**.

II.4 – FINAL EVALUATION/ PROVISION OF ACCOUNTS

II.4.1 – The project Coordinator must refer in specific online Form, in the period of up to 60 (sixty) days after the end of the projects' validity, in conformity with the **ACCEPTANCE TERM** and other rules of CNPq.

II.4.1.1 – The financial provision of accounts, with the presentation expense receipts, in conformity with the rules of **PROVISION OF ACCOUNTS** available in the electronic address http://cnpq.br/prestacao-de-contas1; and

II.4.1.2 – A final technical report, with the detailing of all activities developed during the project's execution and the registry of all occurrences that had an effect on its development.

II.4.2 – When requested by CNPq, the Coordinator must fulfill the monitoring and evaluation form of the approved research project.

II.5 – CLARIFICATIONS AND ADDITIONAL INFORMATION ABOUT THE CONTENT OF THE CALL AND FILLING OF THE ONLINE PROPOSAL FORM AND LEGAL PROCEDURES

II.5.1 – Clarification and additional information regarding the content of this Call can be obtained by sending a message to the e-mail address: coped@cnpq.br

II.5.2 – Support to proponents regarding technical difficulties on filling the Proposal Form will be realized through the e-mail address atendimento@cnpq.br

II.5.3 – In case of the appearance of doubts or difficulties on filling items of the Proposal Form, the support service will be carried out through the telephone 55. 61 3211 4000 from Monday to Friday, from 08h30 AM to 06h30 PM.

II.6 – OF THE AFOREMENTIONED LEGISLATIONS:

TERM	DEVICES and LEGISLATION
ADVERTISING ACTIVITY	**Caput and 1st paragraph of article 37 of the Federal Constitution** http://www.planalto.gov.br/ccivil_03/constituicao/ConstituicaoCompilado.htm **i. IN/SECOM-PR n° 02, 16 of December 2009** http://www.secom.gov.br/sobre-a-secom/institucional/legislacao/instrucoes-normativas
INDIVIDUAL AID	**RN 017/2011** http://www.cnpq.br/web/guest/view/-/journal_content/56_INSTANCE_0oED/10157/25480
BIDDING LAW	**Law 8.666/1993** http://www.planalto.gov.br/ccivil_03/leis/l8666cons.htm
INNOVATION INOVAÇÃO	**Innovation Law 10.973, 02 of December 2004** http://www.planalto.gov.br/ccivil_03/_Ato2004-2006/2004/Lei/L10.973.htmhttp://www.planalto.gov.br/ccivil_03/_Ato2004-2006/2004/Lei/L10.973.htm
LDO	**Law 12.708, 17 of August 2012** http://www.planalto.gov.br/ccivil_03/_Ato2011-2014/2012/Lei/L12708.htm
INTELECTUAL PROPERTY	**RN-013/2008** http://www.cnpq.br/web/guest/view/-/journal_content/56_INSTANCE_0oED/10157/24829
SPECIFIC RULES OF FELLOWSHIPS	**RN-029/2012 – Fellowships Abroad** http://www.cnpq.br/web/guest/view/-/journal_content/56_INSTANCE_0oED/10157/515690
RULES OF APPEAL	**RN 006/2009** http://www.cnpq.br/web/guest/view/-/journal_content/56_INSTANCE_0oED/10157/25041
CONSTITUTIONAL PRINCIPLES	**Caput and 1st heading of art. 37 of the Federal Constitution** http://www.planalto.gov.br/ccivil_03/constituicao/ConstituicaoCompilado.htm
LEGAL PRINCIPLES	**Law 9.784, 29 January 1999, Art. 2** http://www.planalto.gov.br/ccivil_03/Leis/L9784.htm
ACCEPTANCE TERM	**RN 018/2011 revoking RN 024/2006** http://www.cnpq.br/web/guest/view/-/journal_content/56_INSTANCE_0oED/10157/25465
PROVISION OF ACCOUNTS AND RESOURCE UTILIZATION MANUAL	http://www.cnpq.br/documents/10157/d6b5ae87-42ab-4b4c-85f6-838fedda953d

II.7 Informations about the analysis, financial resources invested, financeable items, eligibility criteria for the proposer, team and institutions adopted by CONICYT can be found in the application call document (bases concursales) at http://www.conicyt.cl/pci**. Consultations to CONICYT can be done through OIRS platform at** https://oirsvirtual.conicyt.cl/**.**

II.8 – COORDINATION RESPONSIBLE FOR THE CALL

The Coordination responsible for the monitoring of the present Call, Brazilian side, is the General-Coordination of International Cooperation – CGCIN.

Annex I - Structured model of a project.

Annex II - Statement of Prior Informed Consent.

Annex III - Letter of Institutional Support

GLOSSARY

Classification of Participant Institutions

1. National executing institution: It is the national institution of higher education and research and development centre, public or private, without lucrative motives, or public company, project leader, to which is bounded to the Brazilian coordinator that forwards the proposal and is responsible for the project's execution, being the primary beneficiary of the financial resources.
2. Partner Institution: It is the institution of foreign promotion, with which CNPq maintains bilateral cooperation agreement envisioning the funding of joint international cooperation activities in science, technology and innovation, for example, the FNRS (Belgium), the CNRS (France), the NSF (USA) and the CONICET (Argentine), between others listed in II.1.1.1.
3. Foreign executing institution: It is the foreign research institution, project leader, to which is bounded the foreign coordinator, located at the Partner Institution.
4. Co-funding institutions (national or foreign): Corresponds to national or foreign institution(s) which will take part on the project's funding, allocating financial research infrastructure resources, executing or not parts of the project.
5. Co-executing institutions (national or foreign): Corresponds to other national or foreign institution(s) of higher education and research and development centre, public or private, involved on the project's execution, but do not characterize as co-funding.
6. Collaborative institutions (national or foreign): Other national or foreign institutions involved on the project's execution, but do not characterize as co-funding neither as co-executing, corresponding to the following types:

a) technical institutions of support to development of small size business activity, class associations, confederations, cooperatives and institutions aiming for the development, diffusion and technical assistance;
b) companies the develop innovative projects or aggregate technology, being those public, private, micro enterprises or small size companies
c) technical units or public law entities of State and Municipal governments;
d) companies of public, private or public-private initiative;
e) OSCIP (Civil Societies Organizations of Public Interest);
f) non-governmental research organizations; and
g) entities' consortium without lucrative motives.

ANNEX I

GENERAL COORDINATION OF INTERNATIONAL COOPERATION - CGCIN / CNPq-

Chamada Conjunta CNPq/CONICYT Nº. /2016

Joint Call CNPq/ CONICYT No. /2016

Projetos Conjuntos em C&T&I

em envelhecimento populacional

Call for the Joint Projects in S&T&I

on Ageing population

ROTEIRO

DETALHAMENTO DO PROJETO CONJUNTO

Guidelines for Detailing Joint Project

Máximo de 20 páginas

Up to 20 pages

Título do Projeto Conjunto de Pesquisa:

Title of the Joint Research Project:

Palavras-chave:

Keywords:

Data do início e término do projeto de pesquisa (dia, mês e ano)

Proposed period of the joint research project (dd/mm/yy):

Coordenador brasileiro

Brazilian Research Coordinator

Nome:

E-mail:

Instituição:

Departamento/Divisão:

Endereço:

Telefone:

Fax:

E-mail

Coordenador Chileno

Chilean Research Leader

Name:

Organization:

Division/Department:

Title:

Address:

Telephone:

Fax:

E-mail:

Investigador Asociado Chileno (copy as required)

Chilean Associate Researcher

Name:

Organization:

Division/Department:

Title:

Address:

Telephone:

Fax:

E-mail:

Investigador Asociado Alterno Chileno

Chilean Alternative Associate Researcher

Name:

Organization:

Division/Department:

Title:

Address:

Telephone:

Fax:

E-mail:

Summary

Keywords			
Goals (Approximatery 60 words)			
Approach (Approximatery 100 words)			
Expected Outcome (Approximatery 100 words)			

Description of the Cooperative Research Project –**10-17 pages**

(Please refer to the evaluation criteria in the item II.3 of Call. References should be listed at the end of this section, which are not included in the 10-17 pages.)

1. Research Background and Goal (including ongoing research activities and special strengths of the research groups)

2. Research Infrastructure for this Project

(Copy and paste additional cells if necessary)

2.1 Funds from other sources (including CNPq) that support the existing on-going activities. (Brazilian Research Leader only, If you do not have these sources, leave empty.)

Name of Source and its Research Title	Research Period	Total Amount of Grant
How the proposed cooperative research project is related to these on-going activities		

2.2 Funds from other sources (including CONICYT) that support the existing on-going activities. (Chilean Research Leader only, If you do not have these sources, leave empty.)

Name of Source and its Research Title	Research Period	Total Amount of Grant
How the proposed cooperative research project is related to these on-going activities		

2.3 Additional Support for the Brazilian Research Team (e.g. home institution, etc.) with relation to the proposed project

Name	Name of project/program	Research Period	Total Amount of Grant (BRL)
(Leader)*			
(Researchers)			

2.4 Additional Support for the Chilean Research Team (e.g. home institution, etc.) with relation to the proposed project

Name	Name of project/program	Research Period	Total Amount of Grant (CLP)
(Leader)*			
(Researchers)			

3. Characteristic equipment and facilities for carrying out this Cooperative Project

a) Chilean –side

b) Brazilian-side

4. Approach

5. Expected Outcome

6. Synergies created by the Joint Research Activity (including how the groups complement each other, expected added value from the proposed joint project)

7. Research Plan

Itemize the specific research activities done by each team in each year. The targets to be achieved and the specific role of each team should be included. Description for each team may not exceed 1 page

a) Chilean -side (Start date of the cooperative project _____)

1st Year

2nd Year

b) Brazilian-side (Start date of the cooperative project _____)

1st Year

2nd Year

8. Research Networking Plan – maximum 1 page

(including clear description of planned joint workshops, researcher exchanges etc. in each year, purpose of and expected added value through these activities)

1st Year

2nd Year

9. Budget plan for the project

(Copy and paste additional cells if necessary)

Chilean side (CHL)*

ITEM		Nº	FUNDING REQUESTED (Chilean pesos $CLP)		TOTAL REQUESTED
			Year 1	Year 2	($CLP)
EQUIPMENT					
OPERATIONAL COSTS					
NATIONAL AND INTERNATIONAL TRAVEL	Airfares				
	Living Allowance				
SALARIES	Postdoctoral researchers				
	Postgraduate and Undergraduate students				
	Professional and technical staff				
DISSEMINATION & SEMINARS					
TOTAL					

*Selected projects will be required to submit detailed budget based on CONICYT´s requirements

Brazilian side (BRL)

Expense Item (Expenses for research exchanges)	**Nº**	**1st year**	**2nd year**	**Financial Resources**	
				Capital	Costing
Total					

Profiles are not included in the 15 pages.

10. Curriculum Vitae of Research Team Members

CNPq
Conselho Nacional de Desenvolvimento Científico e Tecnológico

OFFICE FOR INTERNATIONAL COOPERATION
Brazilian Researcher Curriculum Vitae - English

1. Professional data/activity

Full name	Date of birth	Country
E-mail		
Institution		Present position
Department		Start date (month/year)
Office address		P.O. box

City	State/Province	Country	Zip code
Phone number ( )	Extension	Fax number ( )	

2. Academic background

Degree	Field of knowledge		Start / End date /
	Institution	city	Country
Degree	Field of knowledge		Start / End date /
	Institution	city	Country
Degree	Field of knowledge		Start / End date /
	Institution	city	Country
Degree	Field of knowledge		Start / End date /
	Institution	city	Country
Degree	Field of knowledge		Start / End date /
	Institution	city	Country

3. Research interests

Field of Study	CNPq use

4. Current position

Managerial	
Research and Development	

Technical service/specialization	
Others	

5. Work experience

5.1. Institution	Position	Activities	Local	Start - End date

6. Scientific, technological and artistic production

	number		number
1. scientific articles in national scientific journals		6. papers presented in congresses, seminars, conferences, etc.	
2. scientific articles in international scientific journals		7. participation in expositions, presentations, etc.	
3. articles for scientific divulgement		8. motion pictures, videos, audiovisual and media production	
4. defended theses		9. patents	
5. advised theses		10. books	

7. Main publications :

Relevant publications related to the project

8. Languages

Indicate your language proficiency: P – poor G - good E - excellent

Language	speaking	reading	writing	Language	speaking	reading	writing

CNPq
Conselho Nacional de Desenvolvimento Científico e Tecnológico

OFFICE FOR INTERNATIONAL COOPERATION
Chilean Researcher Curriculum Vitae - English

1. Professional data/activity

Full name	Date of birth	Country
E-mail		
Institution		Present position
Department		Start date (month/year)
Office address		P.O. box

City	State/Province	Country	Zip code
Phone number ( )	Extension	Fax number ( )	

2. Academic background

Degree	Field of knowledge		Start / End date /
	Institution	city	Country
Degree	Field of knowledge		Start / End date /
	Institution	city	Country
Degree	Field of knowledge		Start / End date /
	Institution	city	Country
Degree	Field of knowledge		Start / End date /
	Institution	city	Country
Degree	Field of knowledge		Start / End date /
	Institution	city	Country

3. Research interests

Field of Study	CNPq use

4. Current position

Managerial	
Research and Development	
Technical service/specialization	

Others	

5. Work experience

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6. Scientific, technological and artistic production

	number		number
1. scientific articles in national scientific journals		6. papers presented in congresses, seminars, conferences, etc.	
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3. articles for scientific divulgement		8. motion pictures, videos, audiovisual and media production	
4. defended theses		9. patents	
5. advised theses		10. books	

7. Main publications :

Relevant publications related to the project

8. Languages

Indicate your language proficiency: P – poor G - good E - excellent

Language	speaking	reading	writing	Language	speaking	reading	writing

ANNEX II

STATEMENT OF PRIOR INFORMED CONSENT

We herewith declare the agreement for the submission of the proposal of research project entitled _____, under Brazilian coordination of Dr. _____ and under Chilean coordination of Dr. _____. The Brazilian coordinator will be the responsible for the effective submission of the proposal in the CNP's Carlos Chagas Platform, according to the procedures detailed in the Call for Proposals.

City, XXth, XXX, 2016.

_____	_____
Brazilian Coordinator	Chilean Coordinator
Institution	Institution

ANNEX III

COMPROMISO INSTITUCION PATROCINANTE CHILENA

Nombre completo de la Institución , en el marco del CONCURSO PARA PROYECTOS DE INVESTIGACIÓN CONJUNTA EN TORNO AL PROCESO DEL ENVEJECIMIENTO EN CHILE Y BRASIL (CNPq-CONICYT Call for Applications Nº 17/2016), se compromete a apoyar, en calidad de Institución Patrocinante Principal, el proyecto denominado Nombre completo del proyecto , cuyo/a Investigador/a Responsable es el/la Señor/a Nombre completo y apellido , y cuyo/a Investigador/a Asociado Alterno/a es el/la Señor/a Nombre completo y apellido , quién también se desempeña en esta Institución Patrocinante Principal.

El alcance de este compromiso cubre aquellos aspectos de destinación temporal de académicos/as y otro personal; el empleo y acceso a equipos, instrumentos e instalaciones; el uso de la infraestructura física y otras instalaciones de las que dispone la institución, de acuerdo con las especificaciones detalladas en el presente proyecto.

Asimismo la institución brindará las facilidades que sean necesarias para el cabal cumplimiento de los objetivos del proyecto, especialmente tomará todas las medidas necesarias para el fiel uso de los recursos que en razón del proyecto le serán asignados, los cuales se indican a continuación:

ITEM	Pecuniario (M$)	No Pecuniario (M$)
Equipamiento		
Gastos de Operación		
Viáticos		
Pasajes aéreos		
Honorarios		
Difusión y seminarios		

_____

FIRMA REPRESENTANTE LEGAL

Nombres y Apellidos: _____

Cargo en la Institución: _____

Ciudad: _____ *Fecha:* _____ *Día / mes / año*